



10-12-04  
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UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/671,326         | 09/25/2003             | Deping Wang           | RIB-007                |

021323  
TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

RECEIVED

CONFIRMATION NO. 1502

FORMALITIES LETTER



\*OC000000013487383\*

TESTA, HURWITZ & THIBEAULT, LLP  
PATENT DEPARTMENT

Date Mailed: 08/09/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

COPY

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **\$3257** as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

10/13/2004 WASFAW1 00000023 10671326

Total additional fee(s) required for this application is **\$3707** for a **Small Entity**

|            |        |    |
|------------|--------|----|
| 01 FC:2001 | 395.00 | OP |
| 02 FC:2051 | 65.00  | OP |
| 03 FC:2201 | 44.00  | OP |
| 04 FC:2202 | 252.00 | OP |
| 05 FC:2203 | 150.00 | OP |

- **\$385** Statutory basic filing fee.
- **\$65** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **\$3257**

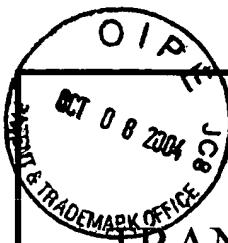
- **\$43** for 1 independent claims over 3.
- **\$3069** for 341 total claims over 20.
- **\$145** for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY



**TRANSMITTAL  
FORM**

|                           |                    |
|---------------------------|--------------------|
| Application Serial Number | 10/671,326         |
| Filing Date               | September 25, 2003 |
| First Named Inventor      | Wang               |
| Group Art Unit            | 1614               |
| Examiner Name             | Not yet assigned   |
| Attorney Docket No.       | RIB-007            |
| Patent No.                | Not applicable     |
| Issue Date                | Not applicable     |

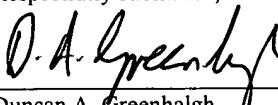
**ENCLOSURES (check all that apply)**

|   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form   | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application<br><input type="checkbox"/> Formal Drawing(s)   | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences<br><input type="checkbox"/> Appeal Brief (in triplicate)   |
| <input checked="" type="checkbox"/> Amendment/Response<br><input checked="" type="checkbox"/> Preliminary<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings<br>[Total Sheets ____] | <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal<br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><input type="checkbox"/> Terminal Disclaimer   | <input type="checkbox"/> Status Inquiry<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 |
| <input type="checkbox"/> Petition for Extension of Time   | <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><input type="checkbox"/> Small Entity Statement  | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)<br><input checked="" type="checkbox"/> Response to Notice to File Missing Parts<br><input checked="" type="checkbox"/> Supplemental Application Data Sheet                                  |
| <input checked="" type="checkbox"/> Sixth Supplemental Information Disclosure Statement<br><input checked="" type="checkbox"/> Form PTO-1449<br><input checked="" type="checkbox"/> Copies of IDS Citations (B38, B39)  | <input type="checkbox"/> CD(s) for large table or computer program<br><input type="checkbox"/> Amendment After Allowance<br><input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   |   |   |
| <input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above   |   |   |

**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibeault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Respectfully submitted,  
  
 Date: October 8, 2004  
 Reg. No. 38,678  
 Tel. No.: (617) 248-7317  
 Fax No.: (617) 248-7100

Duncan A. Greenhalgh  
 Atty/Agent for Applicant(s)  
 Testa, Hurwitz & Thibeault, LLP  
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|   |  |                           |                    |
|---|--|---------------------------|--------------------|
| <b>Q1<br/>FEE TRANSMITTAL<br/>BY 2005</b> |  | <i>Complete if Known</i>  |                    |
|   |  | Application Serial Number | 10/671,326         |
|   |  | Filing Date               | September 25, 2003 |
|   |  | First Named Inventor      | Wang               |
|   |  | Group Art Unit            | 1614               |
|   |  | Examiner Name             | Not yet assigned   |
|   |  | Attorney Docket No.       | RIB-007            |



**PATENT**  
Attorney Docket No. RJB-007  
(7995/11)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Wang et al. CONFIRAMTION NO.: 1502  
SERIAL NO.: 10/671,326 GROUP NO.: 1614  
FILING DATE: September 25, 2003 EXAMINER: Not yet assigned  
TITLE: BIFUNCTIONAL HETEROCYCLIC COMPOUNDS AND METHODS OF  
MAKING AND USING SAME

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Mail Stop Missing Parts  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts mailed August 9, 2004 (copy enclosed), for the above-referenced patent application, enclosed herewith are:

1. Executed oath or declaration; and
2. A check in the amount of \$906.00 for payment as follows:

|   |          |
|---|----------|
| Late oath or declaration surcharge (small entity) | \$65.00  |
| Statutory basic filing fee (small entity)         | \$395.00 |
| Excess claim fees (small entity)                  | \$446.00 |

Also submitted herewith are the following documents:

1. Preliminary Amendment;
2. Supplemental Application Data Sheet; and
3. Supplemental Information Disclosure Statement and accompanying Form PTO-1449

Applicants believe that the check submitted herewith covers all required fees. If there are any additional fees due, the Director of the U.S. Patent and Trademark Office is hereby authorized to charge any such fee to Deposit Account No. 20-0531.

Date: October 8, 2004  
Reg. No. 38,678

Tel. No.: (617) 248-7317  
Fax No.: (617) 248-7100

  
\_\_\_\_\_  
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